

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Buxton et al.**

Docket No.

PU4727US

Serial No.

10/629,177

Filing Date

7/29/03

Examiner

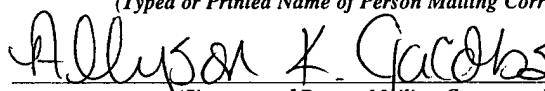
Group Art Unit

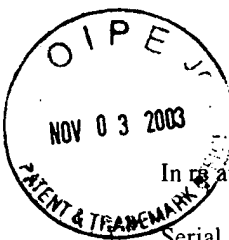
Invention: **NOVEL FORMULATIONS AND METHOD OF TREATMENT****NOV 03 2003**I hereby certify that this **Information Disclosure Statement***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

on

10/28/03*(Date)***Allyson K. Jacobs***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Buxton et al..

Serial No.: 10/629,177

Group Art Unit:

Filing Date: 7/29/03

Examiner:

For: NOVEL FORMULATIONS AND METHOD OF TREATMENT

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

☒ Copies of the references are enclosed *8-14

☐ Copies of the references were submitted in parent application Serial No. _____. (37 CFR 1.98(d))

☐ A copy of the International Search Report which issued on International Application No. _____ is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

B. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either:

(1) a final action under § 1.113 or

(2) a notice of allowance under § 1.311,

whichever occurs first.

☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$240.00).

- C. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.

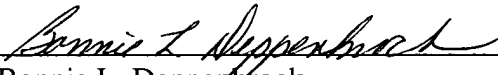
In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ Applicant hereby petitions for the consideration of the accompanying Information Disclosure Statement. 37 CFR 1.97(d)(ii).
- ☐ The petition fee set forth in § 1.17(i)(1) (\$130.00) is submitted herewith.

☒ Please charge any required fees to Deposit Account No.07-1392.

☐ A duplicate copy of this paper is attached.

Respectfully Submitted,


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 Attorney of Record
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Date: 27 October 2003
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FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT
ATTORNEY DOCKET NO.
 PU4727US

SERIAL NO.
 10/629,177

APPLICANT
 Buxton et al.

FILING DATE
 7/29/03

GROUP
U.S. PATENT DOCUMENTS

Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	1	4,556,552	12/3/85	Porter et al.			
	2	4,775,536	10/4/88	Patell			
	3	4,816,262	3/28/89	McMullen			
	4	5,004,614	4/2/91	Staniforth			
	5	5,342,627	8/30/94	Chopra et al.			
	6	4,704,295	11/3/97	Porter et al.			
	7	6,039,976	3/21/00	Mehra et al.			

Continue on page ____

FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
	8	95/29665	11/9/95	PCT			
	9	96/01612	1/25/96	PCT			
	10	97/14415	4/24/97	PCT			
	11	98/47491	10/29/98	PCT			
	12	99/48481	9/30/99	PCT			
	13	01/37808	5/31/01	PCT			
	14	03/068195	8/21/03	PCT			

Continue on page ____

OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)

	15	Frank JAO et al., US Patent Application No. 2003/0056896, filed 3/27/03.

Continue on page ____

EXAMINER
DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.